



IPW RECEIPT

Attorney's Docket No.: C01104/70091

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick Morgan, et al.
Serial No.: 10/045,629
Confirm. No.: 3677
Filed: October 25, 2001
For: METHODS AND APPARATUS FOR CONTROLLED
ILLUMINATION
Examiner: Haissa Philogene
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP: ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 31st of August, 2004.


Jeanne W. Chub

MAIL STOP: ISSUE FEE
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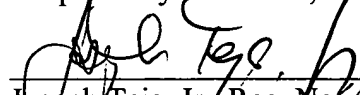
Transmitted herewith are the following documents:

- ☒ Request for Corrected Filing Receipt
- ☒ Copy of Filing Receipt Mailed August 2, 2004 with Corrections Desired Noted in Red
- ☒ Copy of PTO Office Communication Mailed June 7, 2004
- ☒ Copy of Notification of Loss of Entitlement to Small Entity Status Filed by Applicants on January 22, 2004
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the balance may be charged to Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,


Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

Dated: August 31, 2004



Attorney's Docket No.: **C01104/70091**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Jeanne W. Chub

MAIL STOP: ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of a Corrected Filing Receipt mailed August 2, 2004 with desired corrections noted in red. Issuance of a Corrected Filing Receipt is respectfully requested because the inventorship and entity status is incorrect. John Warwick and Timothy Holmes should be added as Applicants. A copy of a PTO Office Communication mailed June 7, 2004 in support of this request is enclosed. It is also requested that "SMALL ENTITY" be removed from the Corrected Filing Receipt. A copy of a Notification of Loss of Entitlement to Small Entity Status mailed by Applicants on January 22, 2004 in support of this request is also enclosed.

Please forward a Corrected Filing Receipt to us at your earliest convenience. No additional fee or certification is believed to be required. However, if for any reason a fee paid is inadequate, or credit is owed for any excess fee paid, the Commissioner is hereby authorized and requested to charge or credit our Deposit Account No. 50/2762.

Should any questions arise concerning the foregoing, please contact the undersigned at the telephone number listed below.

Respectfully submitted,



Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

Dated: August 3, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/045,629	10/25/2001	2821	1251	C01104/70091 RFG	20	54	7

37462

LOWRIE, LANDO & ANASTASI
 RIVERFRONT OFFICE
 ONE MAIN STREET, ELEVENTH FLOOR
 CAMBRIDGE, MA 02142

Req. Corrected FR?
DOCKETED

DUE: 9.2.04
CML 8/21/04

CONFIRMATION NO. 3677

CORRECTED FILING RECEIPT

OC000000013414615

OC000000013414615

Date Mailed: 08/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frederick M. Morgan, Quincy, MA;
 Ihor A. Lys, Boston, MA;
 George G. Mueller, Boston, MA;
 Kevin J. Dowling, Westford, MA;
John Warwick, Cambridge, MA
Timothy Holmes, Jacksonville, FL

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/243,250 10/25/2000
 and claims benefit of 60/296,377 06/06/2001
 and claims benefit of 60/297,828 06/13/2001
 and claims benefit of 60/290,101 05/10/2001
 and is a CIP of 09/669,121 09/25/2000
 which is a CON of 09/425,770 10/22/1999 PAT 6,150,774
 which is a CON of 08/920,156 08/26/1997 PAT 6,016,038
 This application 10/045,629
 is a CIP of 09/215,624 12/17/1998 PAT 6,528,954
 and is a CIP of 09/213,607 12/17/1998 ABN
 and is a CIP of 09/213,189 12/17/1998 PAT 6,459,919
 and is a CIP of 09/213,581 12/17/1998
 and is a CIP of 09/213,540 12/17/1998 PAT 6,720,745
 and is a CIP of 09/333,739 06/15/1999
 and is a CIP of 09/344,699 06/25/1999
 and is a CIP of 09/616,214 07/14/2000
 and is a CIP of 09/870,418 05/30/2001 *
 and is a CIP of 09/805,368 03/13/2001
 and is a CIP of 09/805,590 03/13/2001

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and is a CIP of 09/870,193 05/30/2001 PAT 6,608,453
 and is a CIP of 09/742,017 12/20/2000 ABN
 which is a CON of 09/213,548 12/17/1998 PAT 6,166,496
 This application 10/045,629
 is a CIP of 09/815,418 03/22/2001 PAT 6,577,080
 which is a CON of 09/213,548 12/17/1998 PAT 6,166,496
 This application 10/045,629
 claims benefit of 60/071,281 12/17/1997
 and claims benefit of 60/068,792 12/24/1997
 and claims benefit of 60/078,861 03/20/1998
 and claims benefit of 60/079,285 03/25/1998
 and claims benefit of 60/090,920 06/26/1998
 (*)Data provided by applicant is not consistent with PTO records.

COPY

Foreign Applications

If Required, Foreign Filing License Granted: 04/12/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

** ~~SMALL ENTITY~~ **

Large Entity

Title

METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

Preliminary Class

315

**LICENSE FOR FOREIGN FILING UNDER
 Title 35, United States Code, Section 184
 Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the

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national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/045,629

10/25/2001

Frederick M. Morgan

C01104/70091 RFG

3677

23628

7590

06/07/2004

WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

Reviewed by
Docketing
CM 6/22/04

EXAMINER

PHILOGENE, HAISSA

ART UNIT

PAPER NUMBER

2821

DATE MAILED: 06/07/2004

COPY

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

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Alexandria, Virginia 22313-1450

COPY

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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10/045629

EXAMINER

ART UNIT	PAPER
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10252001

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The IDS filed 1/9/04 has been considered. However, the examiner has lined through documents 4,394,716 and 5,636,303 because they were already considered or cited in IDS filed 4/14/03 and PTO-892 mailed 12/17/03. A copy reflecting the nature of the consideration is hereby provided.

In view of the papers filed 1/26/04 with regard to a request to correct inventorship under 37 CFR 1.48(a), it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by adding the following names: John Warwick and Timothy Holmes.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of the file jacket and PTO PALM data to reflect the inventorship as corrected.

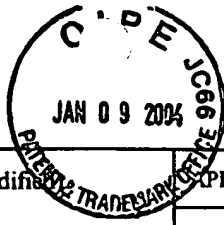
Any comments or questions regarding this communication should be directed to examiner Haissa Philogene whose telephone number is (571) 272-1827.

Attached: Copy of PTO-1449 Form

Haissa Philogene
Primary Examiner

A.U. 2821
Haissa Philogene

COPY



FORM PTO-1449/A and B (Modified)				APPLICATION NO.: 10/045,629		ATTY. DOCKET NO.: C01104/70091	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: October 25, 2001		CONFIRMATION NO.: 3826	
				APPLICANT: Frederick M. Morgan et al.			
				GROUP ART UNIT: 2821		EXAMINER: Haissa Philogene	
Sheet	1	of	1				

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		4,394,716		Campagna et al.	07/19/1983
hp		5,012,457		Mitchell et al.	04/30/1991
hp		5,169,236		Iest	12/08/1992
		5,636,303		Cho et al.	06/03/1997
hp		5,680,730		Epple	10/28/1997

FOREIGN PATENT DOCUMENTS

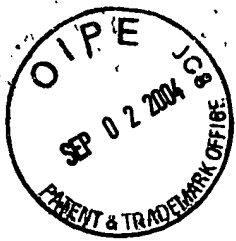
Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	

EXAMINER	<i>Haissa Philogene</i>	DATE CONSIDERED	6/1/04
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Jeanne Clark

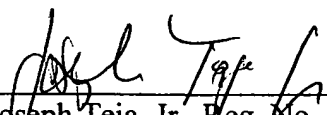
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 CFR 1.27(g)(2))**

Sir:

Applicants hereby notify the U.S. Patent Office that they are no longer entitled to status as a small entity with respect to this application, and that the claim for small entity status is hereby withdrawn.

Respectfully submitted,



Joseph Teja, Jr., Reg. No. 45,157
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Riverfront Office Park
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Cambridge, MA 02142
(617) 395-7000
Attorney for Applicants

Attorney Docket No.: C01104.70091
Dated: January 22, 2004